

Receipt  
Jm

**Steven J. Rosen**  
Patent Attorney

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Admitted to  
Pennsylvania Bar

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July 25, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: Request for a CORRECTED FILING RECEIPT

Dear Sir,

This is a request for a Corrected Filing Receipt. Enclosed find a copy of the original Filing Receipt mailed to our office with the wrong spelling of the first named applicant. The correct name is Rollin George Giffin, III.

Also enclosed, please find copies of a Certificate Of Mailing By Express Mail, Assignment, Declaration, and Power Of Attorney with the correct spelling of the applicant's last name.

Please issue a Corrected Filing Receipt for the reasons stated above. Thank you.

Sincerely,

Steven J. Rosen

SJR/ksp

Enclosed for the Commissioner are two copies of the original Filing Receipt mailed to our office with the wrong spelling of the first named applicant. The correct name is Rollin George Giffin, III. Also enclosed are copies of a Certificate Of Mailing By Express Mail, Assignment, Declaration, and Power Of Attorney with the correct spelling of the applicant's last name.

Very truly yours,



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/647,881	08/25/2003	3644	1272	133638	7	49	1

CONFIRMATION NO. 3187

30503  
 STEVEN J. ROSEN, PATENT ATTORNEY  
 4729 CORNELL RD.  
 CINCINNATI, OH 45241

## FILING RECEIPT



\*OC000000014090172\*

Date Mailed: 10/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Rollin George Grffin.III, Cincinnati, OH;  
 James Edward Johnson, Hamilton, OH;

## Power of Attorney:

Jay Chaskin--24030  
 James Mitchell--25602  
 RONALD E. MYRICK--26315  
 Henry Policinski--26621  
 Nathan Herkamp--28812

Steven J. Rosen--29972  
 Andrew C. Hess--31368  
 William Scott Andes--33582  
 David L. Narciso--35624  
 V Ramaswamy--45957

Domestic Priority data as claimed by applicant

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

**Title**

Flade gas turbine engine with counter-rotatable fans

**Preliminary Class**

244

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Rollin George Giffin, III et al.

Serial No.: : :

Filed: : :

For: FLADE GAS TURBINE ENGINE WITH COUNTER-ROTATABLE FANS

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached New Patent Application and associated papers are being deposited with the U.S. Postal Service on August 25, 2003 for mailing as first class mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

8/25/03

Steven J. Rosen  
Date of Signature

Steven J. Rosen

8/25/03

Steven J. Rosen  
Reg. No. 29,972

COPY

ASSIGNMENT

Docket No. 133638

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement and/or other agreement and/or for other good and valuable consideration, of which I/we acknowledge receipt, I/we:

Rollin George Giffin, III of Cincinnati, OH

James Edward Johnson of Hamilton, OH

hereby sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my/our entire respective right(s), title(s), and interest(s) in and to the invention and improvements in:

FLADE GAS TURBINE ENGINE WITH COUNTER-ROTATABLE FANS

invented and originated by me/us, and described in the application for United States Patent

[X] executed concurrently herewith, or

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations, reissues, and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

25 Aug 03  
Date

Rollin George Giffin III  
Rollin George Giffin III

25 Aug 03  
Date

James Edward Johnson  
James Edward Johnson



COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Rollin George Giffin, III et al.

Attorney Docket No.: 133638

Serial No.: : : Art Unit:

Filed: : : Examiner:

For: FLADE GAS TURBINE ENGINE WITH COUNTER-ROTATABLE FANS

POWER OF ATTORNEY

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

I hereby appoint:

Steven J. Rosen, Registration No. 29,972,  
David L. Narciso, Registration No. 35,624,  
Nathan D. Herkamp, Registration No. 28,812  
Andrew C. Hess, Registration No. 31,368  
William S. Andes, Registration No. 33,582  
Ronald E. Myrick, Registration No. 26,315  
Henry J. Policinski, Registration No. 26,621,  
Jay L. Chaskin, Registration No. 24,030,  
James W. Mitchell, Registration No. 25,602,  
VG Ramaswamy, Registration No. 45,957

as my/our attorney(s) or agent(s) to prosecute the application  
identified above, and to transact all business in the United States  
Patent and Trademark Office connected therewith.

Please address all future correspondence to:

Mr. Steven J. Rosen  
4729 Cornell Rd.  
Cincinnati, OH 45241

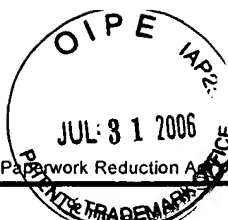
Respectfully submitted,

By: Rollin George Giffin III  
Rollin George Giffin, III, Applicant

Date: 25 Aug 03

By: James Edward Johnson  
James Edward Johnson, Applicant

Date: 25 Aug 03



COPY

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing  
OR  
☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	133638
First Named Inventor	Rollin George Giffin, III et al.
COMPLETE IF KNOWN	
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FLADE GAS TURBINE ENGINE WITH COUNTER-ROTATABLE FANS

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

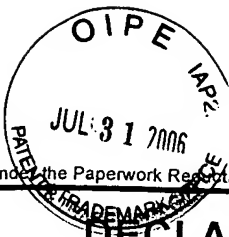
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]



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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label  OR ☒ Correspondence address below

30503

PATENT TRADEMARK OFFICE

Name Steven J. Rosen, Patent Attorney

Address 4729 Cornell Rd.

City Cincinnati

State OH

ZIP 45241

Country US

Telephone 513-489-5383

Fax 513-489-5466

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any]) Rollin George

Family Name  
or Surname Giffin, III

Inventor's  
Signature *Rollin George Giffin III*

Date *25 Aug 03*

Residence: City Cincinnati

State OH

Country US

Citizenship US

Mailing Address 7915 Shelldale Way

City Cincinnati

State OH

ZIP 45242

Country US

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any]) James Edward

Family Name  
or Surname Johnson

Inventor's  
Signature *James Edward Johnson*

Date *25 Aug 03*

Residence: City Hamilton

State OH

Country US

Citizenship US

Mailing Address 8 Annie Lou Dr.

City Hamilton

State OH

ZIP 45013

Country US

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.